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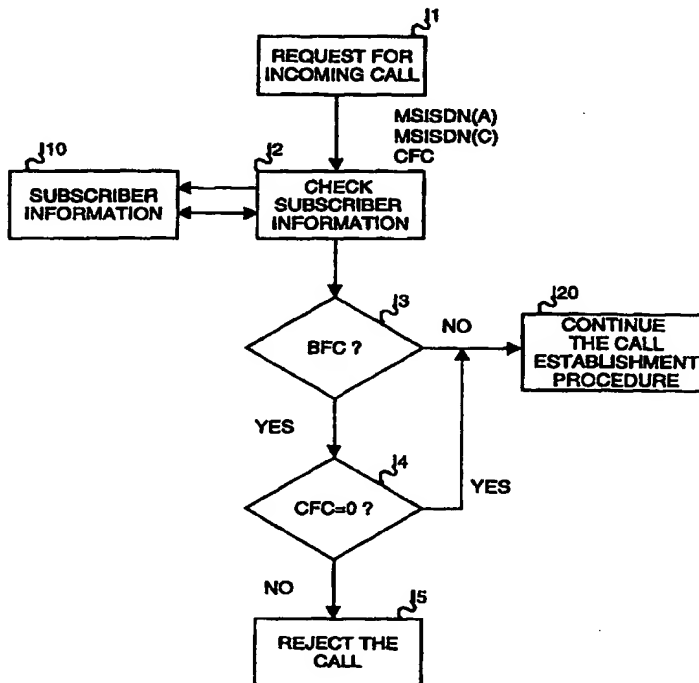
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**(54) Title:** HANDLING OF FORWARDED CALLS

**(57) Abstract**

This invention presents a service of barring the forwarded calls. According to the invention, a subscriber may define in his subscriber information that all calls forwarded to him are to be rejected. In response to receiving a request for establishing a call to a subscriber, his subscriber information is checked to find out if he has defined that calls forwarded to him are to be rejected. If the subscriber information shows that the subscriber wishes to reject the forwarded incoming calls, the call establishment signaling is studied to find out if the call has been forwarded. If the call is determined to have been forwarded, the call is rejected. In a preferred embodiment the subscriber may define a set of allowed numbers from which even the forwarded calls are to be accepted.



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## Handling of forwarded calls

### Field of the invention

This invention concerns the handling of forwarded calls.

### Background of the invention

5 Call forwarding is one of the most commonly used services in modern telecommunication networks. A subscriber can specify that calls destined to him are to be forwarded to another subscriber's number. For example, Beth can transfer her calls to Cindy when leaving her home to visit Cindy. A subscriber can specify that his calls be forwarded in various situations. For  
10 example, when the CFU (Call Forwarding Unconditional) service is activated, all the calls destined to the subscriber are forwarded to a given number. In the CFB (Call Forwarding on Busy) service, calls are forwarded if the called subscriber is busy. By activating the CFNRy (Call Forwarding on No Reply) service, the subscriber instructs that his calls are to be forwarded after 4 alert  
15 tones, for example, if the call is not answered before that. For mobile subscribers the CFNRc (Call Forwarding on Not Reachable) service can be defined. In CFNRc the calls are forwarded if the subscriber is not in the coverage area of the mobile telecommunication network, or if he has turned off his mobile station.

20 The routing of forwarded calls, as well as the charging principle for forwarded calls, are shown in Figure 1. In the figure, the calling party A makes a call to B. B has forwarded his calls to C. This is done by giving the exchange of B instructions to forward the calls addressed to B to a third party C. Both B and C are fixed network subscribers. A may be a fixed network  
25 subscriber or a mobile network subscriber. The call is first routed from A via his Local Exchange LE(A) to the local exchange LE(B) of B. Information concerning the specified call forwarding is stored in LE(B). In response to having received the request for call establishment from LE(A), LE(B) notices that the call is to be forwarded to C. In response to that, LE(B) routes the call to the  
30 forwarded to party C via the local exchange LE(C) of C. LE(B) becomes the transit point of the call. The first call leg from A to LE(B) is paid by subscriber A. The forwarded leg from LE(B) to C is paid by subscriber B.

In Figure 2 is shown a situation where the call is forwarded to mobile subscriber. In this example, both A and B subscribers are fixed network sub-  
35 scribers. However, they can also be mobile subscribers without causing any

remarkable changes in the process. When compared to the case shown in Figure 1, the only difference is the leg between LE(B) and subscriber C. This is due to the basic feature of mobile telecommunication systems: the location of the subscriber is not fixed. Therefore, the location of the subscriber has to be ascertained before establishing the connection.

In modern mobile telecommunications networks, the network maintains information about the location of the mobile subscribers. In the GSM network used as an example in this application, this information is distributed between the home location register HLR and visitor location registers VLR directly connected to mobile services switching centers MSC. The HLR is in the home network of the mobile subscriber and contains the permanent subscriber information and the location information of the mobile subscriber with an accuracy of one VLR area. The VLR area typically equals the area served by one mobile services switching center. The VLR of the visited mobile services switching center VMSC responsible for the area the subscriber is currently visiting contains more exact information about the subscriber's location.

On the leg from LE(B) to subscriber C, the call is first routed to the gateway mobile services switching center GMSC(C) of subscriber C. GMSC(C) is basically any MSC capable of making interrogations to the home location register HLR(C) of subscriber C. In response to having received the HLR inquiry, i.e. the query for routing information, the HLR ascertains the VLR the subscriber is currently visiting, and sends a request PRN (Provide Roaming Number) for a roaming number to that VLR, i.e. VLR(C).

In response VLR(C) returns HLR(C) a roaming number MSRN (Mobile Subscriber Roaming Number) identifying the called subscriber in this VLR. HLR(C) forwards the roaming number to GMSC(C). GMSC(C) adds to the call record comprising information of the call information that subscriber C is receiving the call in the area of VLR(C). Using the received identification MSRN and the message IAM(MSRN) (IAM= Initial Address Message), GMSC(C) establishes a connection to the MSC VMSC(C) currently visited by subscriber C. To identify the called subscriber corresponding to the received roaming number with his identity number IMSI or TMSI (Temporary Mobile Subscriber Identity) VMSC(C) makes a query to VLR(C), which then returns this information. According to the specifications, this is done using messages SIFIC (Send Info For Incoming Call) and CC (Complete Call). Having ascer-

tained the identity of the called subscriber, VMSC(C) now establishes the final leg of the call to the called subscriber.

In GSM, the basic principle in charging is that the calling subscriber pays the leg between himself and the home network HPLMN(B) (HPLMN= Home Public Land Mobile Network) of the called subscriber. The rest of the route is paid by the called subscriber. The idea here is that the calling subscriber should not have to pay a surprisingly high price for his call, for example, when the called subscriber is roaming in a distant country. According to this principle, when subscriber A in Finland calls a mobile subscriber B whose home network is in New Zealand but who is currently roaming in Finland, the call is routed via New Zealand. Subscriber A pays the leg from Finland to New Zealand and subscriber B the leg from New Zealand back to Finland.

Due to this charging principle, the forwarding subscriber B pays for the leg between LE(B) and the home network of C and subscriber C from his home network onwards. It should be noted that for most operators, reception of calls is free in the home network HPLMN (Home Public Land Mobile Network) of the subscriber. However, when the subscriber is roaming outside his home network, he is charged for the roaming leg from his own home network to the VPLMN (Visited Public Land Mobile Network) he is currently visiting.

The call transfers may be annoying to the subscriber to whom the calls have been transferred especially if he is roaming abroad. An example of such an annoying situation is a malicious call forwarding. In addition to malicious call forwarding, calls may be transferred to a wrong number because of a type mismatch when entering the call transfer command to transfer all the incoming calls of the exchange of a company, for example. The subscriber to whom the calls are forwarded to may, of course, define all his incoming calls to be barred. However, the use of barring of incoming calls prevents him from receiving the calls directed to him directly, i.e. without the malicious or accidental forwarding defined by the forwarding party.

The objective of this invention is to solve the above-mentioned problem of the prior art. This objective is achieved using the method and apparatus specified in the independent claims.

### Summary of the invention

This invention presents a service of barring the forwarded calls. According to the invention, a subscriber may define in his subscriber informa-

tion that calls forwarded to him are to be rejected. In an exemplary embodiment, in response to having received a request for establishing a call to a subscriber, the subscriber information of the subscriber is checked to find out if he has defined that calls forwarded to him are to be rejected. If the subscriber information shows that the subscriber wishes to reject the forwarded incoming calls, the call establishment signaling is studied to find out whether the call has been forwarded or not. This is preferably done by studying the value of the call forwarding counter. If the value of the counter shows that the call has been forwarded, the call is rejected.

10 In a preferred embodiment the subscriber may define in his subscriber information a set of allowed calling party numbers from which also the forwarded calls are to be accepted. In response to having determined that the call has been forwarded, the calling party number indicated in the call establishment request is compared to the set of allowed numbers. If the calling party number does not belong to the set of allowed numbers the call is rejected. If the calling party number belongs to the set of allowed numbers the call establishment procedure is continued. Likewise, the negation of this set, i.e. the set of calling party numbers from which the forwarded incoming calls are not to be connected may be specified.

20 In another preferred embodiment, the set of disallowed forwarding numbers are defined, and the calls having been forwarded by a member of this set are rejected. Again, the negation of this set, i.e. the set of allowed forwarding numbers may be specified. In one implementation of the preferred embodiment, the intelligent network IN is utilized. In this implementation, the set of allowed numbers is stored in the intelligent network. In the exchange of the subscriber, the event of receiving a forwarded call is determined as an IN trigger. In response to having received a request to establish a call, the value of the call forwarding counter is checked to determine whether the call has been forwarded. If the call has been forwarded a query having the calling party number as its parameter is sent to the intelligent network. In the intelligent network, the set of allowed numbers is retrieved from the subscriber information of the called subscriber and the calling party number compared to the set. If the calling party number belongs to the set of allowed numbers the intelligent network instructs the exchange to continue the call establishment procedure. If the calling party number does not belong to the set of allowed numbers the intelligent network instructs the exchange to reject the call and to stop the call establishment procedure. An error mes-

sage may be returned when rejecting the call. Alternatively, the exchange may be instructed to issue an announcement or to forward the call elsewhere, for example. The call may be forwarded to a network element capable of finding out the identity of the forwarding party, for example.

## 5           **Brief description of the drawings**

The invention is described more closely with reference to the accompanying schematic drawings, in which

- Figure 1    shows a forwarded call;
- 10    Figure 2    show a call forwarded to a mobile subscriber;
- Figure 3    shows a decision algorithm;
- Figure 4    shows a decision algorithm according to a preferred embodiment of the invention;
- Figure 5    shows a possible division of the tasks according to the invention
- 15            between different network elements;
- Figure 6    shows the structure of a message;
- Figure 7    shows functional entities of a telecommunication system; and
- Figure 8    shows a system for configuring subscriber information.

## **Detailed description of the invention**

- 20            Some technical solutions for implementing the invention are illustrated below. The exemplary solutions assume that the ISUP (ISDN User Part) signaling protocol is used. However, the chosen signaling protocol has an effect only on the messages used, and the invention can just as well be implemented using some other signaling protocol.

- 25            When using ISUP, the following signaling information concerning forwarded calls can be used:

- redirection number**: Information sent in the backward direction indicating the number to which the call must be rerouted or has been forwarded.
- 30            •**call forwarding counter**: Information sent in either direction indicating the number of redirections which have occurred on a call.

          •**calling party number**: the number of the calling party.

In addition, the specifications define also other information elements relating to call forwarding information. Examples of these elements are:

•**forwarding number:** Information sent in the forward direction when a call is diverted, indicating the B subscriber number from which the call was forwarded.

5       •**redirecting reason:** Information sent in either direction indicating, in the case of calls undergoing multiple redirections, the reason why the call has been redirected. These reasons include CFU, CFB, CFNRy and CFNRc.

10       •**redirecting indicator:** Information sent in either direction, i.e. from the exchange of the forwarding subscriber (the forward direction) or vice versa (the backward direction) indicating whether the call has been diverted or rerouted and whether or not presentation of redirection information to the calling party is restricted.

      •**forwarding indicator:** Information sent in the backward direction to indicate whether the call has undergone diversion or rerouting. It also contains information about presentation restrictions.

15       •**redirection information:** Information sent in either direction giving information about call redirection or call rerouting.

      •**redirection number restriction indicator:** Information sent in the backward direction indicating whether the forwarded-to user allows the presentation of his number.

20       The signaling information is presented more thoroughly in ITU-T (ITU= International Telecommunication Union, ITU-T= the ITU Telecommunication standardization sector) recommendations Q.762 and Q.763 published by ITU.

25       A plurality of the above pieces of signaling information may be used to determine if the call has been forwarded. The call may be determined having been forwarded if the forwarding number is present in the call establishment signaling, for example. However, it has been observed that the operators usually do not use all the information fields presented above, but on the forwarded leg, only the calling number, forwarded-to number and the call forwarding counter are used in the call establishment signaling. It is therefore advantageous to use the call forwarding counter when determining whether the call has been forwarded or not.

30       A decision flowchart for determining whether the call is forwarded or not and whether it is to be rejected or not is presented in Figure 3. This algorithm may be running in the local exchange of a fixed network subscriber or in the mobile services switching center currently serving a mobile subscriber, for example. In this context, the word exchange is used to mean either a lo-



cal exchange, a mobile services switching center or any similar network element participating the call establishment procedure and having access to the subscriber information of the subscriber the call is being routed to.

At stage I2 the exchange receives a request for establishing a call to  
5 subscriber C. In the request, at least the identity number such as the mobile station ISDN number of subscriber C and the value of the call forwarding counter CFC are given.

Having received the request, the procedure enters stage I2, in which the subscriber information of subscriber C is checked. This is done on the  
10 basis of a database query made in stage I10. The database may be, for example, an internal database of the local exchange, the Visitor Location Register VLR the mobile services switching center is connected to, or a database in the intelligent network. The query returns, among other things, the Forwarded Call Barring BFC flag.

15 The procedure now enters stage I3, in which the presence of the BFC flag is studied. If the flag is not present, i.e. flag=0, for example, the procedure goes to stage I20 in which the call establishment procedure is continued.

If the BFC flag is found to be present in stage I3, the procedure enters stage I4, in which the value of the call forwarding counter CFC is compared to the given threshold value 0. A value higher than this threshold is defined to be an indication of the call having been forwarded. If the comparison shows that the call has not been forwarded, i.e. CFC=0, the procedure enters stage I20, in which the call establishment procedure is continued.

25 If the comparison at stage I4 shows that the call has been forwarded, the YES branch is chosen, and the procedure enters stage I5 in which the call is rejected and the call establishment procedure interrupted. According to one preferred embodiment of the invention, the calling party is given an announcement telling the reason for the rejection of the call.

30 According to another preferred embodiment, the call is forwarded to a predefined destination. Preferably the call is forwarded to destination capable of determining the identity of the forwarding party. Different destinations for different situations, e.g. for

- calls from different calling subscribers,
  - 35 • calls forwarded by different subscribers and
  - calls forwarded for different reasons (i.e. CFU, CFNRc, etc.)
- may preferably be defined.

In a preferred embodiment the subscriber may define in his subscriber information a set of allowed numbers from which the calls are to be accepted even if the call has been forwarded. In his exchange, in response to having determined the call has been forwarded, the calling number indicated in the request for establishing a call is compared to the to the set of allowed numbers. If the indicated number does not belong to the set of allowed numbers the call is rejected. If the indicated number belongs to the set of allowed numbers, the call establishment procedure is continued. A special situation occurs when the calling party number is not indicated in the call establishment signaling. According to one embodiment, the subscriber may define such calls from unknown origination to be rejected or to be accepted. A flowchart showing the algorithm for decision making in this preferred embodiment of the invention is presented in Figure 4.

At stage J2 the exchange receives a request for establishing a call to subscriber C. In the request, at least the identity number such as the mobile station ISDN numbers of subscriber C and the calling party, i.e. subscriber A (MSISDN(A)) and the value of the call forwarding counter CFC are given.

The procedure then enters stage J2, in which the subscriber information of subscriber C is checked. This is done using the database query in stage J10. The query returns, among other things, the Forwarded Call Barring BFC flag and the set {ALLOWED NUMBERS} of allowed numbers.

The procedure now enters stages J3 and J4, in which the presence of the BFC flag and the value of the call forwarding counter CFC are studied. If the BFC flag is not present or the value of the call forwarding counter shows that the call has not been forwarded, the procedure goes to stage J20 in which the call establishment procedure is continued.

If both the BFC flag is present and the verification of the CFC shows that the call has been forwarded the procedure enters to stage J5, in which the calling party identity number MSISDN(A) is compared to the set {ALLOWED NUMBERS}. If MSISDN(A) belongs to the set, the procedure enters stage J20, in which the call establishment procedure is continued. If MSISDN(A) does not belong to the set the procedure enters to stage J in which the call is rejected and the call establishment procedure interrupted. According to a preferred embodiment of the invention, the calling party is given an announcement telling the reason of the rejection of the call. Again, the call may alternatively be forwarded to a predefined destination. It is, however, not essential to the above embodiment that both the BFC flag and

the set of allowed numbers are stored in the same database. In that case it is adequate to query the database including the set of allowed numbers before stage J5.

5 The above presented embodiment is especially advantageous in telecommunication systems where some services are implemented using call forwarding. An example of such a service is the home call service, in which calls between two subscribers, subscribers A and B, are given a cheaper price. The service is used by dialing a given number, e.g. 020100, which is then mapped to the right subscriber number by the intelligent network, and  
10 the call is then established to this subscriber number. The call is seen to be a forwarded one. If all the forwarded calls would be barred also the home calls would be barred. However, for all the received calls according to the home call service the calling party number is the same. Using the above presented embodiment the home calls can then be accepted even when using the forwarded call barring service.  
15

In another embodiment, the BFC flag is not a binary piece of information but has more possible values specifying the situation in which the forwarded calls are to be rejected. For example, the flag could comprise values for following situations:

- 20
- CFU,
  - Call from an unknown number,
  - CFNRc,
  - CFNRy, and
  - IN-based forwarding.

25 Thus, the subscriber is able to define only one or selected set of his forwarded incoming calls to be rejected, based on the redirection reason, for example. This embodiment can be used in networks in which the inter exchange signaling supports exchange of such information.

30 One preferred implementation of the above presented embodiment is shown in Figure 5. According to the implementation, the functionality is distributed between the exchange and the intelligent network. The network elements of the intelligent network shown in the figure, are the service control point SCP and the service database SDB. In the exchange MSC, a service switching point SSP is implemented. According to the basic functionality  
35 of an intelligent network, the SSP follows the states of the calls. In the SSP some trigger conditions are defined. When a trigger condition is met, a message is sent to the SCP. The SCP receives the message, and controls the

call based on the message and predefined configurations. The configurations are stored in the service database SDB.

5 In the exchange, the event of receiving an forwarded call is determined as a trigger. When receiving a request to establish a call to a subscriber, and determining that the calls has been forwarded and the subscriber has defined his forwarded incoming calls to be barred a query is sent to the intelligent network. The calling party number is included as a parameter in the query.

10 The set of allowed numbers for the subscriber is stored in the subscriber information of the subscriber stored in the intelligent network. In response to having received the query sent by the exchange, the set of allowed numbers is retrieved from the subscriber information of the called subscriber. If the calling party number belongs to the set of allowed numbers the intelligent network instructs the exchange to continue the call establishment  
15 procedure. If the calling number does not belong to the set the intelligent network instructs the exchange to reject the call.

In mobile telecommunication systems, the subscriber information is stored in the home location register HLR or in the intelligent network. The information stored in the HLR is copied to the visitor location register VLR  
20 connected to the mobile services switching center MSC currently serving the subscriber. The functionality of the invention may be distributed between the MSC and the VLR. In this embodiment, the subscriber data is stored in the VLR and the decision algorithm is running in the MSC.

25 The preferred embodiment can be implemented by storing also the set of allowed calling party numbers in the VLR and to run the decision algorithm in the MSC. Alternatively, the decision algorithm may be distributed between the MSC and the intelligent network IN. Then, the VLR only contains the value of the BFC flag. In response to detecting that the call has been forwarded and that the BFC flag is present in the subscriber info of the  
30 subscriber the call is being routed to, an IN query is triggered. The IN has the set of allowed numbers. Based on the calling party number indicated in the query, the IN determines whether the call is to be established or rejected, and instructs the MSC to do so.

35 According to one embodiment used for mobile telecommunication systems, the decision algorithm is running in the gateway mobile services switching center GMSC capable of making interrogations to the home location register of the subscriber. In this embodiment, the GMSC receives the

request for establishing a call to subscriber C. In response to having received this request the GMSC sends a request SRI (Send Routing Information) for routing information to the home location register HLR(C) holding the subscriber information of the subscriber. In response to having received the message HLR(C) queries its database to find out the current VLR address VLR(C) and other subscriber information of the subscriber. VLR(C) is sent a request PRN (Provide Roaming Number), and VLR responds by allocating a roaming number and sending it to HLR(C) in the acknowledgement message PRNack. HLR(C) receives the message PRNack and sends the roaming number MSRN (Mobile Subscriber Roaming Number) to the GMSC in the acknowledgement message SRIack. According to the invention HLR(C) in addition informs the GMSC about the presence of the BFC flag. This information may be sent, e.g., in the extension field of the message SRIack.

The structure of the SRIack message is shown in Figure 6. The message has normal fields and the extension fields. In the normal fields, the message identifier identifying message and the dialog between the GMSC and the HLR and the MSRN are given using the coding according to the specifications. The extension field is separated from the normal field by a discriminator. The BFC flag is transferred in the extension field. It must be noted that the BFC flag may as well be transferred in the normal fields of the SRIack message if the coding of the flag is specified in the specifications.

The GMSC now studies based on the value of the call forwarding counter whether the call has been forwarded (stage I3) and whether the BFC flag is present (I4). If the call is forwarded and the BFC flag is present, the call is rejected. In all the other cases the call establishment is continued.

To comply with the preferred embodiment utilizing the predefined set of allowed calling party numbers HLR(C) returns the set to the GMSC in addition to the BFC flag. If the call is forwarded and the BFC flag is present, the calling party number is then compared to the set of allowed numbers. If the calling party number does not belong to the set the call is rejected or, alternatively, forwarded to a prespecified destination. In all the other cases the call establishment is continued.

In another embodiment, the information about the call having been forwarded and the calling party and/or the forwarding party number and preferably also the redirecting reason are sent to the HLR in the extension field of the message SRI. Having received this information the HLR may deter-

mine whether the call is to be allowed or rejected, and instructs in the message SRIack the GMSC to do so.

Another implementation of the preferred embodiment of the invention utilizes the intelligent network. Now, if the GMSC determines that the call  
5 has been forwarded and the BFC flag is present, an IN query is triggered. In the query, the calling party number is given as a parameter. The IN holds knowledge of the set of allowed numbers. In response to having received the query the IN compares the calling party number to the set of allowed numbers to determine whether the call is to be established or rejected, and in-  
10 structs the GMSC to do so.

The major advantage of implementing the decision algorithm according to Figure 4 is the presence of the calling party number in the call establishment signaling. Although the specifications enable the transmission of calling party number also in the international signaling this information is not  
15 always transferred in the signaling between exchanges in different countries.

The above implementations having the decision algorithm running at the GMSC have the problem of allocating the MSRN in vain because the VLR is not contacted using the allocated MSRN. The MSRN has then to be deallocated after a given delay or by using a special message deallocating  
20 the MSRN.

According to yet another embodiment of the invention, set of disallowed forwarding numbers is defined. In this embodiment, the forwarding party number is compared to this set, and the call is rejected if the forwarding number belongs to the set of disallowed numbers. In one implementation of  
25 this embodiment, the forwarded calls are accepted if the forwarding number is not indicated in the call establishment signaling. In another implementation the forwarded calls are rejected if the forwarding number is not indicated in the call establishment signaling. The problem of this embodiment is, however, that the operators do not always include the forwarding number in the signaling information used in the call establishment procedure.  
30

One of the objectives of the invention is a telecommunication system implementing the above presented method. The functional entities of a telecommunication system according to the present invention are shown in Figure 7. The system has

- 35
- storing means for storing information on whether the forwarded incoming calls to a subscriber have been determined to be rejected,

- receiving means for receiving a request for establishing a call to the subscriber,
- information retrieving means responsive to the receiving means for
  - determining, based on the request for establishing a call to the subscriber whether the call has been forwarded, and
  - retrieving information on whether the subscriber has defined in his subscriber information that all calls forwarded to him are to be rejected,
- determining means responsive to the information retrieving means and having the functionality of determining whether the call is to be rejected or allowed, and
- continuing means and rejecting means both responsive to the determining means.

Preferably, the information retrieving means is arranged to determine whether the call has been forwarded based on the value of the call forwarding counter.

To comply with the preferred embodiment of the invention, some additional arrangements in the functional entities of the system have to be made. These arrangements are the following:

- the storing means is arranged to store information about a set of allowed numbers,
- the information retrieving means is further arranged to retrieve the set of allowed numbers from the storing means and the calling party number from the request for establishing a call received by the receiving means, and
- the determining means is further arranged to compare the calling party number to the set of allowed numbers, and to determine the call to be allowed if the calling party number belongs to the set.

To comply with another preferred embodiment in the system, in which the forwarding party number is indicated in the signaling for establishing a call, the following arrangements have to be made:

- the storing means is further arranged to store information about a set of allowed forwarding numbers,
- the information retrieving means is further arranged to retrieve the set of allowed forwarding numbers from the storing means and the forwarding party number from the signaling for establishing a call received by the receiving means, and

- the determining means is further arranged to compare the forwarding party number to the set of allowed forwarding numbers, and to determine the call to be allowed if the forwarding party number belongs to the set.

5       The entities may be implemented in a single network element or they may be distributed between a plurality of network elements. According to one embodiment, all the functionalities are implemented in the local exchange of a fixed network subscriber. In this embodiment, the all the necessary subscriber information is stored in an internal database of the local exchange.

10       According to another embodiment, the functionalities are distributed between the local exchange and the intelligent network. Receiving means are implemented in the local exchange. The storing means (storing means and storing means 2 in Figure 7) as well as the continuing means and the rejecting means are distributed between the local exchange and the intelligent network.

15       In mobile telecommunication systems the decision algorithm may be implemented in the MSC currently serving the subscriber. In this embodiment, the storing means are implemented in the VLR connected to the MSC. All the other means are implemented in the MSC.

20       If the intelligent network IN is used, the storing means is distributed between the VLR ("storing means" in figure 7) and the intelligent network ("storing means 2" in figure 7). The determining means, the continuing means and the rejecting means are as well distributed between the MSC and the IN.

25       In another embodiment, the decision algorithm is implemented in the gateway MSC GMSC. In this embodiment, the storing means are implemented in the HLR holding the subscriber information. According to a preferred embodiment the HLR is arranged to send the BFC flag in the extension field of the SRIack message, and the GMSC is arranged to read the flag from said extension field.

30       If the intelligent network IN is used, the storing means are distributed between the HLR ("storing means 1" in figure 7) and the intelligent network ("storing means 2" in figure 7). The determining means are as well distributed between the GMSC and the IN.

35       In a preferred embodiment the system has in addition configuration means for configuring the subscriber information stored in the storing means. These means are connected to the storing means. One embodiment of such



means is a connection from the network management system of the network operator to the relevant network elements. In this embodiment, the subscriber may change the configuration of his services by calling the network operator and requesting the operator to do the changes.

5           According to another embodiment, the subscriber is able to change his service configuration himself. The configuration can take place using DTMF dialing, and especially in the mobile telecommunication systems such as the GSM special signaling such as the unstructured supplementary service data USSD signaling between the storing means such as the HLR and  
10           the mobile station. Such an implementation is schematically shown in Figure 8. According to the figure, the mobile station MS has an USSD application. This application is arranged to communicate with the USSD application of the home location register HLR. The USSD signaling used to transfer the messages between the applications is routed via the base transceiver station  
15           BTS, the base station controller BSC and the MSC. Using the USSD application of his mobile station, the subscriber may configure his subscriber information such as the BFC flag and the set of allowed numbers in the HLR. The information stored in the intelligent network may be configured using a similar signaling method between the mobile station and the SCP of the in-  
20           telligent network.

          In the above exemplary embodiments, the invention is implemented in a system utilizing the ISUP protocol. However, the scope of the invention is not limited to systems utilizing ISUP protocol. The only limitation concerning the signaling protocols is that the protocols used must provide a means  
25           for transferring between the network elements the necessary information about the forwarded call. It must as well be understood that the order of the steps may vary within the scope of the invention. For example, the value of the counter CFC may be verified before checking the presence of the BFC flag.

### Claims

1. A method of handling calls in a telecommunication system comprising subscribers and network elements such as exchanges, in which system

5 subscriber information including information about the service definitions of a subscriber is stored,

calls to a subscriber are established via a network element having access to the subscriber information of the subscriber,

10 a subscriber may define in his subscriber information that his incoming calls are to be forwarded to another subscriber, and

the forwarding of a call is indicated in the call establishment signaling,

15 characterized in that the method comprises the steps of defining in the subscriber information of a subscriber that the forwarded incoming calls to the subscriber are to be rejected, and

in response to having received in a network element having access to the subscriber information of the subscriber call establishment signaling requesting establishment of a call to the subscriber,

20 retrieving the subscriber information of the subscriber to find out if the forwarded incoming calls to a subscriber are to be rejected,

determining based on the call establishment signaling if the call has been forwarded, and

if the forwarded incoming calls to the subscriber are to be rejected and the call is determined to be forwarded, rejecting the call.

25 2. A method according to claim 1 in a system in which

a call forwarding counter is used in the call establishment signaling,

30 in response to receiving call establishment signaling requesting establishment of a call from a calling subscriber to a first subscriber and determining that the first subscriber has forwarded his calls to a second subscriber, the call is forwarded to the second subscriber, and the value of the call forwarding counter is incremented,

characterized in that

35 to determine whether the call has been forwarded, the value of the call forwarding counter indicated in the call establishment signaling is compared to a predefined value, and if the value of the call forwarding counter exceeds the predefined value, the call is determined to be a forwarded one.

3. A method according to claim 1 or 2 in a system in which the calling party number is indicated in the call establishment signaling, c h a r a c - t e r i z e d in that the method comprises in addition the steps of

5 defining in the subscriber information a set of allowed calling numbers from which the forwarded calls are to be accepted, and

in response to having determined that the forwarded incoming calls to a subscriber are to be rejected and that the call has been forwarded,

comparing the calling party number to the set of allowed calling numbers,

10 rejecting the call if the calling party number does not belong to the set, and

continuing the call establishment procedure if the calling party number belongs to the set.

4. A method according to claim 3, c h a r a c t e r i z e d in that the set of allowed calling numbers is defined by specifying the negation of the set, i.e. the set of disallowed calling numbers.

5. A method according to claim 3, c h a r a c t e r i z e d in that the subscriber determines calls being originated by an unknown calling party to be rejected, and in response to having received call establishment signaling requesting establishment of a call to the subscriber and the call establish-  
20 ment signaling having no calling party number indicated, rejecting the call.

6. A method according to claim 3, c h a r a c t e r i z e d in that the subscriber determines calls being originated by an unknown calling party to be accepted, and in response to having received call establishment signaling requesting establishment of a call to the subscriber and the call establish-  
25 ment signaling having no calling party number indicated, accepting the call.

7. A method according to claim 3 in a system comprising further an intelligent network capable of storing subscriber information, c h a r a c - t e r i z e d in that

30 in the network element, the event of receiving a forwarded incoming call to a subscriber having determined his forwarded incoming calls to be rejected is defined to be a trigger for sending a query to the intelligent network, and in response to having received the request to establish a call to a subscriber and having determined that the call has been forwarded and the sub-  
35 scriber has determined his forwarded incoming calls to be rejected, a query having the calling party number as a parameter is sent to the intelligent network, and

in the intelligent network, the set of allowed calling numbers in the subscriber information is stored and in response to having received the query sent by the network element, the set of allowed calling numbers is retrieved from the subscriber information, the calling party number is compared to the set, and the network element is instructed to continue the call establishment procedure if the calling party number belongs to the set of allowed calling numbers and to reject the incoming call if the calling number does not belong to the set.

8. A method according to claim 1 or 2 in a system in which the forwarding party number is indicated in the call establishment signaling, characterized in that the method comprises in addition the steps of defining in the subscriber information a set of disallowed forwarding numbers from which the forwarded calls are to be accepted, and in response to having determined that the forwarded incoming calls to a subscriber are to be rejected and that the call has been forwarded, comparing the forwarding party number to the set of disallowed forwarding numbers, rejecting the call if the forwarding party number belongs to the set, and continuing the call establishment procedure if the forwarding party number does not belong to the set.

9. A method according to claim 8, characterized in that the set of disallowed forwarding numbers is defined by specifying the negation of the set, i.e. the set of allowed forwarding numbers.

10. A method according to claim 8, characterized in that the subscriber determines calls having been forwarded by an unknown forwarding party to be rejected, and in response to having received call establishment signaling requesting establishment of a call to the subscriber and the call establishment signaling having no forwarding party number indicated, rejecting the call.

11. A method according to claim 8, characterized in that the subscriber determines calls having been forwarded by an unknown forwarding party to be accepted, and in response to having received call establishment signaling requesting establishment of a call to the subscriber and the call establishment signaling having no forwarding party number indicated, accepting the call.

12. A method according to any of the preceding claims in a fixed network comprising a local exchange the subscriber is connected to, c h a r a c t e r i z e d in that the network element is the local exchange and the subscriber information is stored in a database the local exchange is con-  
5 nected to.

13. A method according to any of claims 1 to 11 in a mobile tele-communication system comprising  
a mobile services switching center serving the subscriber, and  
a visitor location register connected to the mobile services switching  
10 center,  
c h a r a c t e r i z e d in that the subscriber information is stored in the visitor location register and the network element is the mobile services switching center.

14. A method according to any of claims 1 to 11 in a mobile tele-communication system comprising  
a home location register storing the subscriber information of a sub-  
scriber, and  
a gateway mobile services switching center via which the incoming  
calls of the subscriber are routed,  
20 c h a r a c t e r i z e d in that the subscriber information is stored in the home location register and the network element is the gateway mobile services switching center.

15. A method according to claim 1, c h a r a c t e r i z e d in that to determine whether the call has been forwarded, the presence of the for-  
warding number indicating the identity of the party having forwarded is stud-  
25 ied, and if the forwarding number is present, the call is determined to be a forwarded one.

16. A telecommunication system comprising exchanges and sub-scribers, in which system  
30 subscriber information including information about the service defini-tions of a subscriber is stored,  
calls to a subscriber are established via an exchange having access to the subscriber information of the subscriber,  
a subscriber may define in his subscriber information that his incom-  
35 ing calls are to be forwarded to another subscriber, and  
the forwarding of a call is indicated in the call establishment signal-ing,

characterized in that the system has  
storing means for storing information whether the forwarded incoming calls to a subscriber have been determined to be rejected or accepted,  
receiving means for receiving call establishment signaling requesting  
5 establishment of a call to the subscriber,

information retrieving means responsive to the receiving means for determining, based on the call establishment signaling whether the call has been forwarded, and

10 retrieving from the storing means information whether the subscriber has defined in his subscriber information that all calls forwarded to him are to be rejected,

determining means responsive to the information retrieving means and having the functionality of determining whether the call is to be rejected of allowed, and

15 continuing means and rejecting means both responsive to the determining means.

17. A telecommunication system according to claim 16, characterized in that

20 a call forwarding counter is used in the call establishment signaling, in response to receiving a request for establishing a call from a calling subscriber to a first subscriber and determining that the first subscriber has forwarded his calls to a second subscriber, the call is forwarded to the second subscriber, and the value of the call forwarding counter is incremented, and

25 the information retrieving means are arranged to determine whether the call has been forwarded based on the value of the call forwarding counter.

18. A telecommunication system according to claim 16 or 17, characterized in that

30 in the system, the calling party number is indicated in the call establishment signaling,

the storing means is further arranged to store information about a set of allowed numbers,

35 the information retrieving means is further arranged to retrieve the set of allowed numbers from the storing means and the calling party number from the call establishment signaling, and

the determining means is further arranged to compare the calling party number to the set of allowed numbers, and to determine the call to be allowed if the calling party number belongs to the set.

19. A telecommunication system according to claim 16 or 17,  
5 c h a r a c t e r i z e d i n t h a t

in the system, the forwarding party number is indicated in the call establishment signaling,

the storing means is further arranged to store information about a set of allowed forwarding numbers,

10 the information retrieving means is further arranged to retrieve the set of allowed forwarding numbers from the storing means and the forwarding party number from the call establishment signaling, and

the determining means is further arranged to compare the forwarding party number to the set of allowed forwarding numbers, and to determine the  
15 call to be allowed if the forwarding party number belongs to the set.

20. A telecommunication system according to any of claims 16 to 19, c h a r a c t e r i z e d i n t h a t the system further has configuration means for configuring the subscriber information stored in the storing means.

21. A network element for a telecommunication system in a system  
20 comprising exchanges and subscribers, in which system

subscriber information including information about the service definitions of a subscriber is stored,

calls to a subscriber are established via an exchange having access to the subscriber information of the subscriber,

25 a subscriber may define in his subscriber information that his incoming calls are to be forwarded to another subscriber, and

the forwarding of a call is indicated in the call establishment signaling,

c h a r a c t e r i z e d i n t h a t the network element has  
30 receiving means for receiving call establishment signaling requesting establishment of a call to the subscriber,

information retrieving means responsive to the receiving means for  
- determining, based on the call establishment signaling whether the call has been forwarded, and

35 - retrieving information whether the subscriber has defined in his subscriber information that all calls forwarded to him are to be rejected,

determining means responsive to the information retrieving means and having the functionality of determining whether the call is to be rejected of allowed, and

5 continuing means and rejecting means both responsive to the determining means.

22. A network element according to claim 21, characterized in that

a call forwarding counter is used in the call establishment signaling, in response to receiving call establishment signaling requesting establishment of a call from a calling subscriber to a first subscriber and determining that the first subscriber has forwarded his calls to a second subscriber, the call is forwarded to the second subscriber, and the value of the call forwarding counter is incremented, and

10 the information retrieving means are arranged to determine whether the call has been forwarded based on the value of the call forwarding counter.

23. A network element for a telecommunication system according to claim 21 or 22, characterized in that

20 in the system, the calling party number is indicated in the call establishment signaling,

the information retrieving means is further arranged to retrieve a set of allowed numbers and the calling party number, and

the determining means is further arranged to compare the calling party number to the set of allowed numbers, and to determine the call to be allowed if the calling party number belongs to the set.

24. A network element according to claim 21 or 22, characterized in that

in the system, the forwarding party number is indicated in the call establishment signaling,

30 the information retrieving means is further arranged to retrieve a set of allowed forwarding numbers and the forwarding party number, and

the determining means is further arranged to compare the forwarding party number to the set of allowed forwarding numbers, and to determine the call to be allowed if the forwarding party number belongs to the set.

25. A home location register for a mobile telecommunication system in a system comprising exchanges and subscribers, in which system



subscriber information including information about the service definitions of a subscriber is stored,

calls to a subscriber are established via an exchange having access to the subscriber information of the subscriber,

5 a subscriber may define in his subscriber information that his incoming calls are to be forwarded to another subscriber,

a call forwarding counter is used in the call establishment signaling,

in response to receiving call establishment signaling requesting establishment of a call from a calling subscriber to a first subscriber and determining that the first subscriber has forwarded his calls to a second subscriber, the call is forwarded to the second subscriber, and the value of the call forwarding counter is incremented,

subscriber information is stored in the home location register, and when establishing a call to a mobile subscriber,

15 the call is routed to a gateway exchange capable of interrogating the home location register and the home location register returns the gateway exchange information necessary to route the call to the subscriber,

characterized in that the home location register is arranged to

20 store information about the state of a flag indicating whether the subscriber has defined his forwarded incoming calls to be rejected, and to

to indicate the flag to the gateway exchange in response to having received an interrogation message from the gateway exchange,

25 26. A home location register according to claim 25, characterized in that the home location register is in addition arranged to

receive a configuration message for changing the state of the flag from the subscriber, and

to modify the state of the flag according to the configuration message.

30

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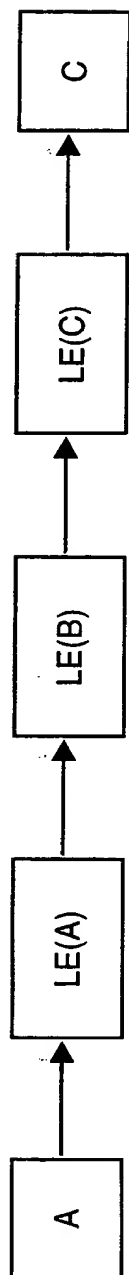


FIG. 1

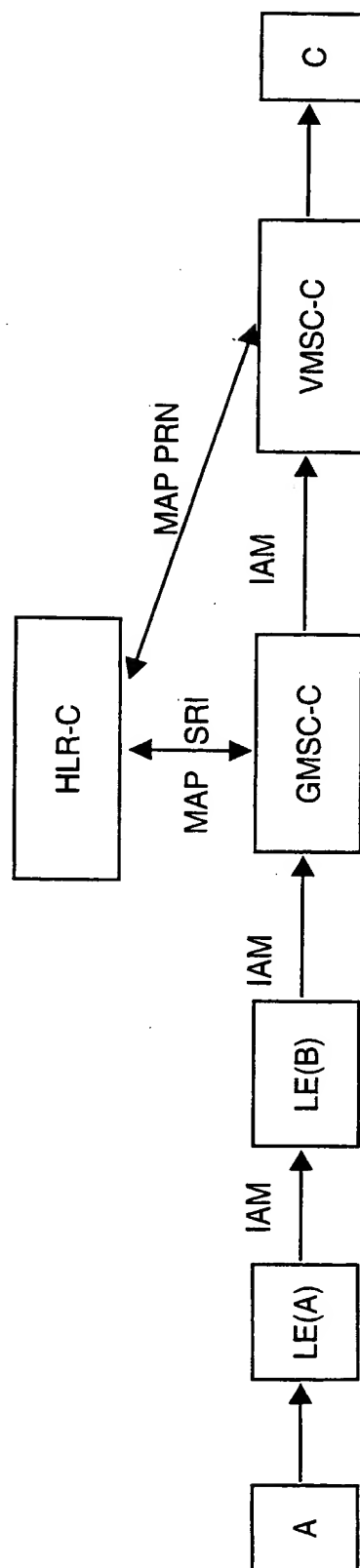


FIG. 2

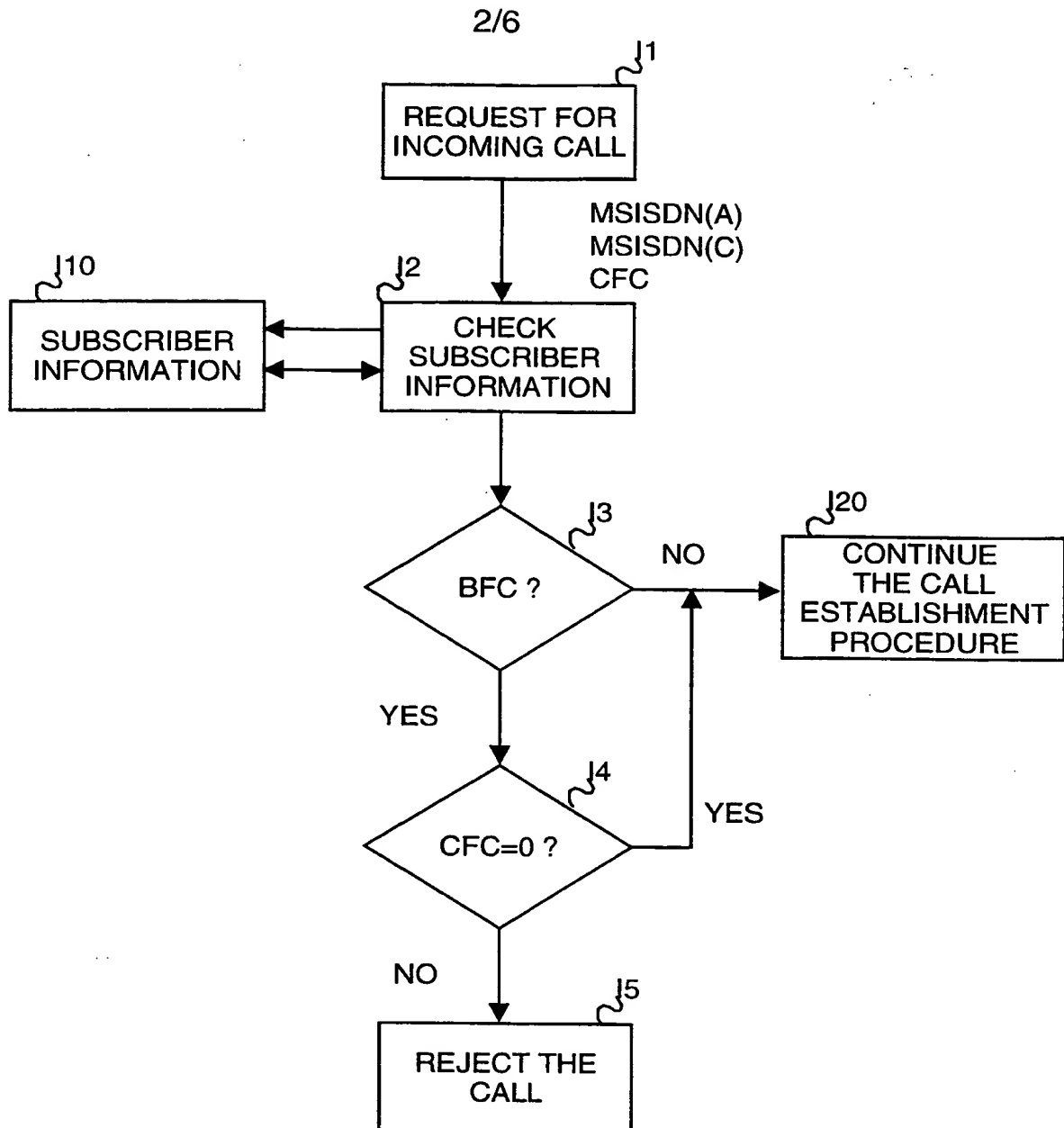


FIG. 3

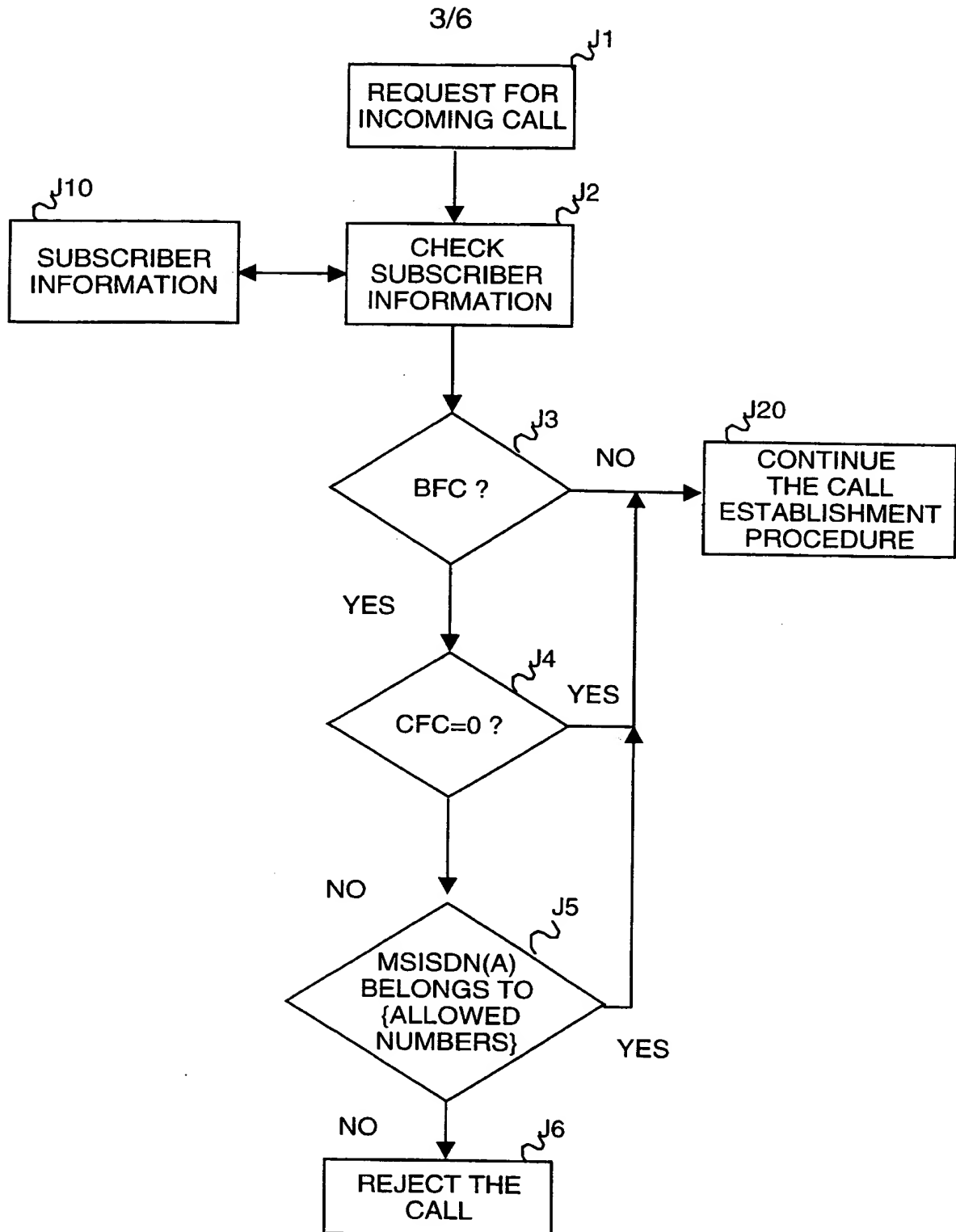


FIG. 4

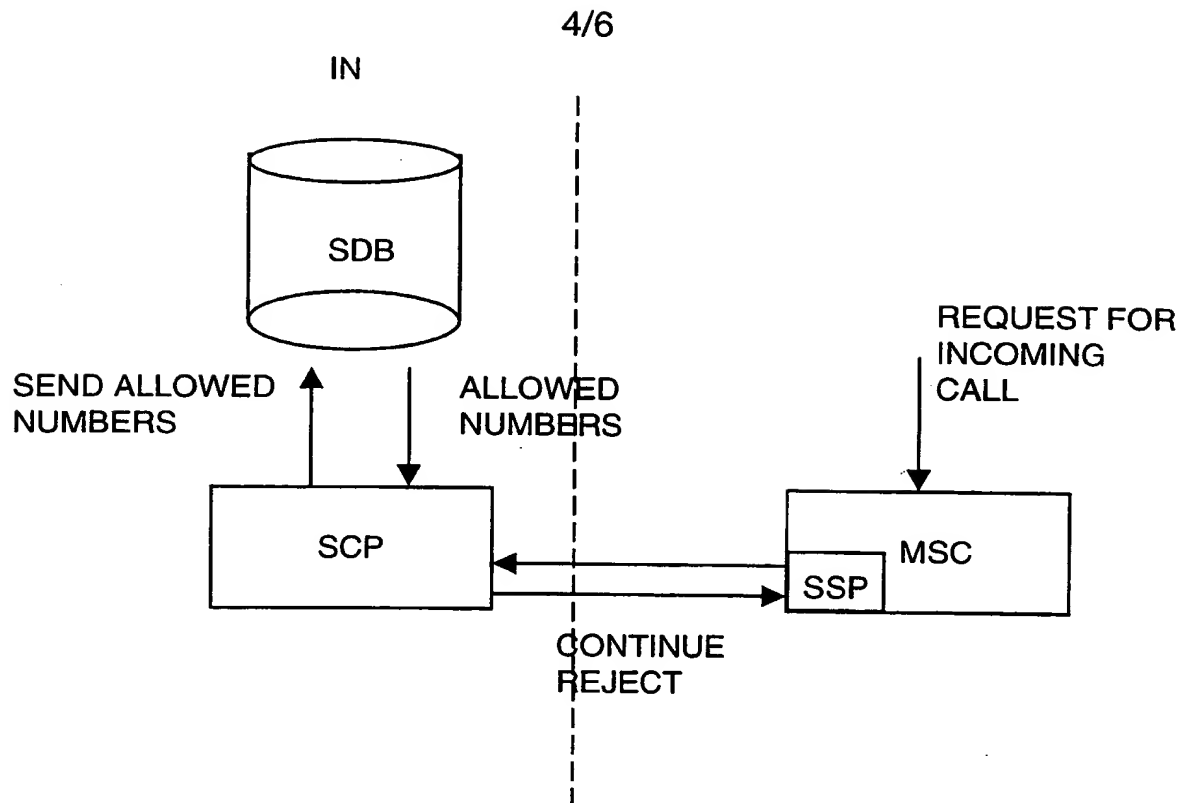


FIG. 5

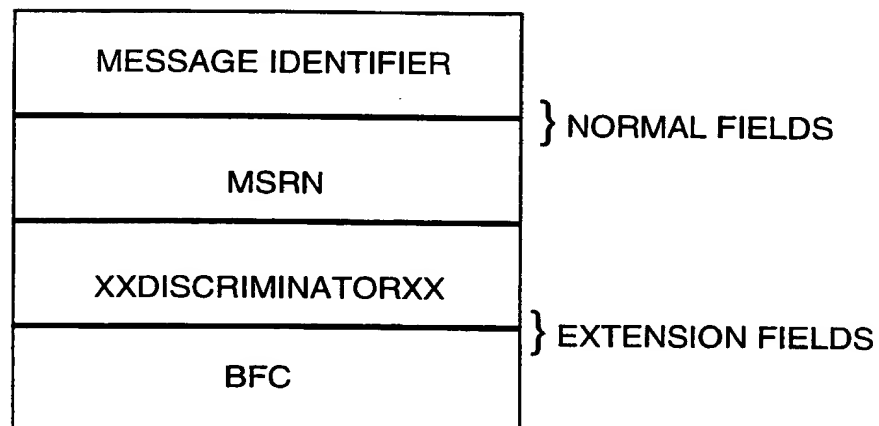


FIG. 6

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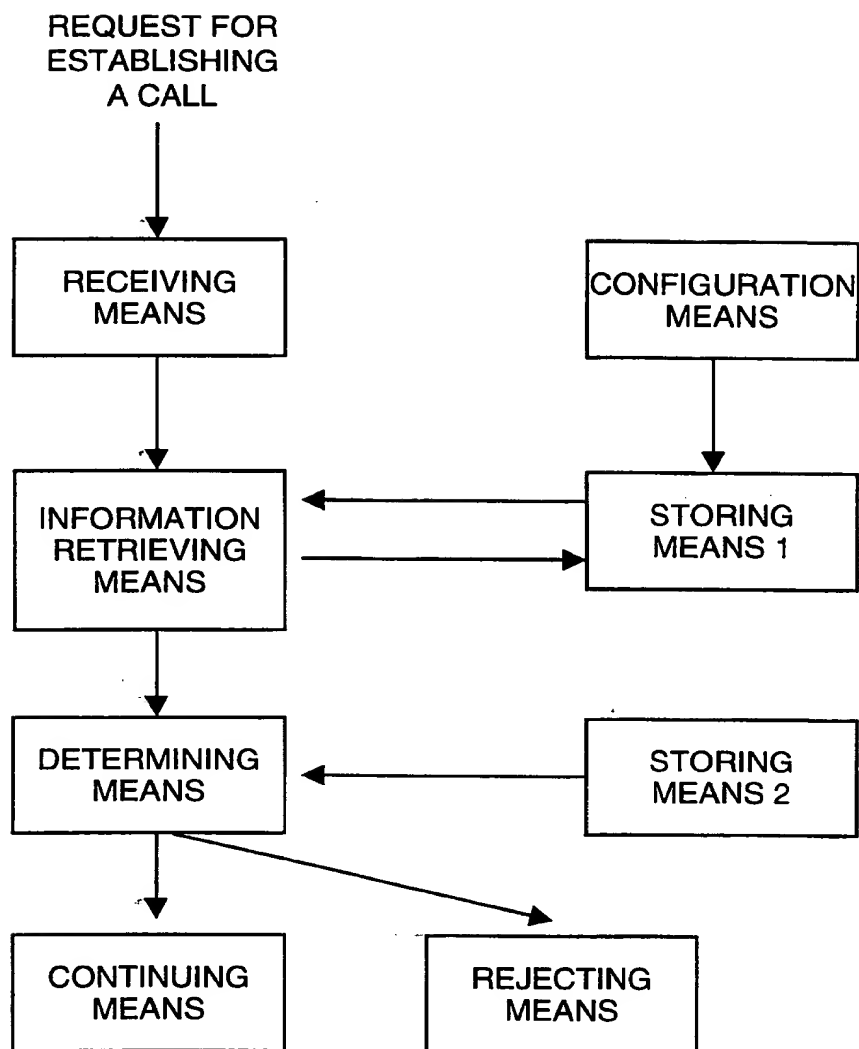


FIG. 7

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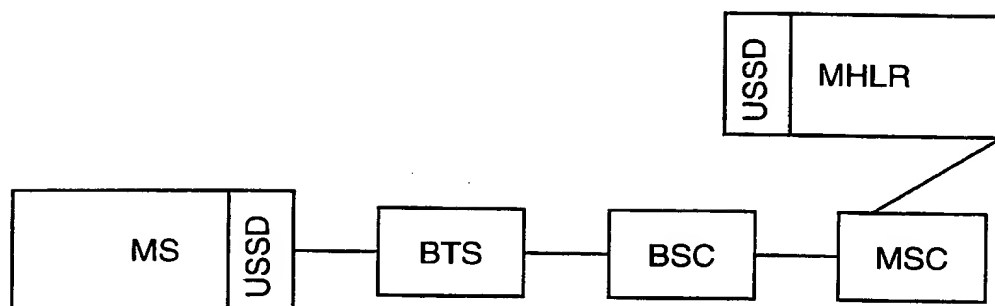


FIG. 8

SL

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT  
(PCT Rule 71.1)

To:

JOHANSSON, Folke  
Nokia Corporation  
P.O. Box 319  
FIN-00045 Nokia Group  
FINLANDE

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APPE 50i

Date of mailing  
(day/month/year) 13.07.2000

Applicant's or agent's file reference  
14239 WO

IMPORTANT NOTIFICATION

International application No.  
PCT/FI99/00345

International filing date (day/month/year)  
28/04/1999

Priority date (day/month/year)  
05/05/1998

Applicant  
NOKIA TELECOMMUNICATIONS OY et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/



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# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>14239 WO</b>	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. <b>PCT/FI99/00345</b>	International filing date ( <i>day/month/year</i> ) <b>28/04/1999</b>	Priority date ( <i>day/month/year</i> ) <b>05/05/1998</b>
International Patent Classification (IPC) or national classification and IPC <b>H04Q3/00</b>		
Applicant <b>NOKIA TELECOMMUNICATIONS OY et al.</b>		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 6 sheets, including this cover sheet.

☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 25 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand  <b>24/11/1999</b>	Date of completion of this report  <b>13.07.2000</b>
Name and mailing address of the international preliminary examining authority:   <b>European Patent Office</b> <b>D-80298 Munich</b> <b>Tel. +49 89 2399 - 0 Tx: 523656 epmu d</b> <b>Fax: +49 89 2399 - 4465</b>	Authorized officer  <b>Pais Gonçalves, A</b>  <b>Telephone No. +49 89 2399 8806</b> 

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/FI99/00345

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1-18	as received on	25/05/2000	with letter of	24/05/2000
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**Claims, No.:**

1-3,5-18	as received on	25/05/2000	with letter of	24/05/2000
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**Drawings, sheets:**

1/6-4/6,6/6	as originally filed
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5/6	as received on	25/05/2000	with letter of	24/05/2000
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2. The amendments have resulted in the cancellation of:

- |                                     |                  |         |   |
|-------------------------------------|------------------|---------|---|
| <input type="checkbox"/>            | the description, | pages:  |   |
| <input checked="" type="checkbox"/> | the claims,      | Nos.:   | 4 |
| <input type="checkbox"/>            | the drawings,    | sheets: |   |

3. ☒ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

**see separate sheet**

4. Additional observations, if necessary:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/FI99/00345

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes:	Claims	1-3,5-18
	No:	Claims	
Inventive step (IS)	Yes:	Claims	
	No:	Claims	1-3,5-18
Industrial applicability (IA)	Yes:	Claims	1-3,5-18
	No:	Claims	

**2. Citations and explanations**

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/FI99/00345

The following documents (D) are referred to in this report:

**D1:** WO-A-98 05153

**D2:** US-A-5 615 253

**I.**

According to the description as originally filed (in particular page 13, lines 20 to 28), the storing means is arranged to store information about a set of allowed numbers and the determining means is arranged to compare the calling party number (caller's identity) as contained in the request for call establishing with said set of allowed numbers (allowed identities) and to determine if the call is to be allowed if the calling party number belongs to the set [of allowed numbers].

However, there is no disclosure of "continuing establishing the incoming forwarded call if the caller identity belongs to the allowed identities" nor "rejecting the incoming forwarded call if the caller identity does not belong to the allowed identities", as claimed in Claims 1, 13, 16 and 18. In other words, there is no relationship between the set of allowed identities and a condition to continue the call establishment of forwarded calls in the application documents as originally filed. Therefore, this report does take into consideration this amendment, Article 34(2)(b) PCT.

Claim 4 is missing in the set of claims filed with letter of 24.05.2000, Rule 6.1(b) PCT.

**V.**

1. Document **D1** (see page 2, lines 28 to 37; page 3, lines 9 to 19; page 5, lines 26 to 36; page 9, lines 30 to 36; e.g. figure 2) discloses, according to the main features of Claim 1, a method of handling calls in a telecommunication system comprising subscribers and network elements such as exchanges, said method comprising the steps of:
  - storing in the system subscriber information including information including service definitions of a subscriber (e.g. in 210, figure 2),
  - establishing connections to the subscriber are via a network element (e.g. 160, figure 2) having access to the subscriber information of the subscriber,

- allowing a subscriber to define in his subscriber information that his incoming calls are to be forwarded to another subscriber (page 7, lines 19 to 21), and
  - indicating the forwarding of a call and the caller's identity in the call establishment signaling (redirection counter, calling-party directory number; page 5, lines 32, 33).
- 1.1 The subject-matter of Claim 1 only differs from the disclosure of D1 in that in this document the calls are blocked according to a forwarded-from number while in Claim 1 the calls can be allowed if the caller's identity belongs to a group of allowed identities.
- 1.2 However, a skilled person, in consulting the prior art of systems for processing forwarded calls, would come across document **D2**, wherein a call blocking is made based on the caller's identity, see e.g. column 7, lines 9 to 13.
- 1.3 Therefore, the subject-matter of Claim 1 does not involve an **inventive step**, Article 33 (1), (3) PCT.
2. Furthermore, the arguments set-above for Claim 1 are also valid to independent Claim 13, which essentially contains the same combination of features as Claim 1 in terms of an apparatus (system) claim, i.e. the apparatus for carrying out the method of Claim 1. Furthermore, this also applies to independent Claims 16 and 18, which although being drafted using a different formulation contain also the same features as Claim 13 in terms of a network element and of a home location register respectively.
- 2.1 Therefore, the subject-matter of Claims 13, 16 and 18 does also not involve an **inventive step**, Article 33 (1), (3) PCT.
2. The additional features of the dependent claims seem to relate to minor design details and/or implementation measures, which are known or directly derivable from the above-referred documents or constitute normal practice of a person skilled in the art.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

---

International application No. PCT/FI99/00345

2.1 These claims thus, either alone or in combination, do not seem to add anything new or of inventive significance to any of the previously-referred claims.

**VII.**

On page 18, lines 2 and 3 of the description, the wording "as defined by the appended claims" should be added after "scope of the invention", PCT Guidelines PG-III 4.3a.

**VIII.**

Claims 1, 13, 16 and 18 are not clear because of using the term "optionally" for indicating the caller's identity in the call establishment signaling since this is an **essential feature** for comparing the caller identity with the group of allowed identities, Article 6 PCT.

## TENT COOPERATION TRE Y

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents  
United States Patent and Trademark  
Office  
Box PCT  
Washington, D.C.20231  
ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

<b>Date of mailing</b> (day/month/year) 20 December 1999 (20.12.99)	
<b>International application No.</b> PCT/FI99/00345	<b>Applicant's or agent's file reference</b> 14239 WO
<b>International filing date</b> (day/month/year) 28 April 1999 (28.04.99)	<b>Priority date</b> (day/month/year) 05 May 1998 (05.05.98)
<b>Applicant</b> KANERVA, Mikko et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:  
24 November 1999 (24.11.99)

☐ in a notice effecting later election filed with the International Bureau on:  
\_\_\_\_\_

2. The election ☒ was  
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

<p>The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland</p> <p>Facsimile No.: (41-22) 740.14.35</p>	<p>Authorized officer A. Karkachi</p> <p>Telephone No.: (41-22) 338.83.38</p>
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## TENT COOPERATION TRE Y

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

JOHANSSON, Folke  
Nokia Corporation  
P.O. Box 226  
FIN-00045 Nokia Group  
FINLANDEDate of mailing (day/month/year)  
03 December 1999 (03.12.99)Applicant's or agent's file reference  
14239 WO

## IMPORTANT NOTIFICATION

International application No.  
PCT/FI99/00345International filing date (day/month/year)  
28 April 1999 (28.04.99)

## 1. The following indications appeared on record concerning:

☒ the applicant ☐ the inventor ☐ the agent ☐ the common representative

## Name and Address

NOKIA TELECOMMUNICATIONS OY  
Keilalahdentie 4  
FIN-02150 Espoo  
Finland

## State of Nationality

FI

## State of Residence

FI

Telephone No.

Facsimile No.

Teleprinter No.

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☐ the person ☒ the name ☐ the address ☐ the nationality ☐ the residence

## Name and Address

NOKIA NETWORKS OY  
Keilalahdentie 4  
FIN-02150 Espoo  
Finland

## State of Nationality

FI

## State of Residence

FI

Telephone No.

Facsimile No.

Teleprinter No.

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

☒ the receiving Office ☒ the designated Offices concerned  
☐ the International Searching Authority ☐ the elected Offices concerned  
☐ the International Preliminary Examining Authority ☐ other:The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Authorized officer

Aino Metcalfe

Facsimile No.: (41-22) 740.14.35

Telephone No.: (41-22) 338.83.38



## PCT INTERNATIONAL COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

JOHANSSON, Folke  
Nokia Corporation  
P.O. Box 319  
FIN-00045 Nokia Group  
FINLANDE

Date of mailing (day/month/year) 13 April 2000 (13.04.00)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 14239 WO	
International application No. PCT/FI99/00345	International filing date (day/month/year) 28 April 1999 (28.04.99)

1. The following indications appeared on record concerning:									
<input type="checkbox"/> the applicant	<input type="checkbox"/> the inventor <input checked="" type="checkbox"/> the agent <input type="checkbox"/> the common representative								
Name and Address JOHANSSON, Folke Nokia Corporation P.O. Box 226 FIN-00045 Nokia Group Finland	<table border="1"> <tr> <td>State of Nationality</td> <td>State of Residence</td> </tr> <tr> <td colspan="2">Telephone No. +358-9-18071</td> </tr> <tr> <td colspan="2">Facsimile No. +358-9-1807 593</td> </tr> <tr> <td colspan="2">Teleprinter No.</td> </tr> </table>	State of Nationality	State of Residence	Telephone No. +358-9-18071		Facsimile No. +358-9-1807 593		Teleprinter No.	
State of Nationality	State of Residence								
Telephone No. +358-9-18071									
Facsimile No. +358-9-1807 593									
Teleprinter No.									
2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:									
<input type="checkbox"/> the person	<input type="checkbox"/> the name <input checked="" type="checkbox"/> the address <input type="checkbox"/> the nationality <input type="checkbox"/> the residence								
Name and Address JOHANSSON, Folke Nokia Corporation P.O. Box 319 FIN-00045 Nokia Group Finland	<table border="1"> <tr> <td>State of Nationality</td> <td>State of Residence</td> </tr> <tr> <td colspan="2">Telephone No. +358-9-51121</td> </tr> <tr> <td colspan="2">Facsimile No. +358-9-511 64604</td> </tr> <tr> <td colspan="2">Teleprinter No.</td> </tr> </table>	State of Nationality	State of Residence	Telephone No. +358-9-51121		Facsimile No. +358-9-511 64604		Teleprinter No.	
State of Nationality	State of Residence								
Telephone No. +358-9-51121									
Facsimile No. +358-9-511 64604									
Teleprinter No.									
3. Further observations, if necessary:									
4. A copy of this notification has been sent to:									
<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned								
<input type="checkbox"/> the International Searching Authority	<input checked="" type="checkbox"/> the elected Offices concerned								
<input checked="" type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:								

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Aino Metcalfe
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT** 5. 10. 99

## NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

(PCT Rule 44.1)

<b>To:</b>  <b>Johansson Folke</b> <b>c/o Nokia Corporation</b> <b>P.O.Box 226</b> <b>FIN-00045 NOKIA GROUP</b> <b>Finland</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px;">Date of mailing (day/month/year)</td> <td style="width: 50%; text-align: center; padding: 5px;"><b>21 -10- 1999</b></td> </tr> </table>	Date of mailing (day/month/year)	<b>21 -10- 1999</b>
Date of mailing (day/month/year)	<b>21 -10- 1999</b>		
Applicant's or agent's file reference <b>14239 W0</b>	<b>FOR FURTHER ACTION</b> See paragraphs 1 and 4 below		
International application No. <b>PCT/FI99/00345</b>	International filing date (day/month/year) <b>28-04-1999</b>		
Applicant <b>Nokia Telecommunications Oy</b> <b>et al</b>			

1. ☒ The applicant is hereby notified that the international search report has been established and is transmitted herewith.  
**Filing of amendments and statement under Article 19:**  
 The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):  
**When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet.  
**Where?** To the International Bureau of WIPO  
 34, chemin des Colombettes  
 1211 Geneva 20, Switzerland  
 Facsimile No.: (41-22) 740.14.35  
 For more detailed instructions, see notes on the accompanying sheet.
2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.
3. ☐ With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:
  - ☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.
  - ☐ no decision has been made yet on the protest: the applicant will be notified as soon as a decision is made.
4. **Further action(s):** The applicant is reminded of the following:
 

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the ISA/ Patent- och registreringsverket Box 5055 S-102 42 STOCKHOLM Facsimile No. 08-667 72 88	Telex 17978 PATOREG-S	Authorized officer  <div style="text-align: right;"><b>Christina Vfall</b></div> Telephone No. 08-782 25 00
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## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>14239 WO</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/FI 99/00345</b>	International filing date ( <i>day/month/year</i> ) <b>28 April 1999</b>	(Earliest) Priority Date ( <i>day/month/year</i> ) <b>5 May 1998</b>
Applicant <b>Nokia Telecommunications Oy et al</b>		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 2 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. ☐ Certain claims were found unsearchable (See Box I).
2. ☐ Unity of invention is lacking (See Box II).
3. ☐ The international application contains disclosure of a nucleotide and/or amino acid sequence listing and the international search was carried out on the basis of the sequence listing
  - ☐ filed with the international application.
  - ☐ furnished by the applicant separately from the international application,
    - ☐ but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.
  - ☐ transcribed by this Authority.
4. With regard to the title, ☒ the text is approved as submitted by the applicant.  
☐ the text has been established by this Authority to read as follows:
5. With regard to the abstract,
  - ☒ the text is approved as submitted by the applicant.
  - ☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.
6. The figure of the drawings to be published with the abstract is:
  - Figure No. 3 ☒ as suggested by the applicant. ☐ None of the figures.
  - ☐ because the applicant failed to suggest a figure.
  - ☐ because this figure better characterizes the invention.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 99/00345

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: H04Q 3/00, H04M 3/38, H04M 3/54

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: H04M, H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPIL

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 9805153 A2 (ERICSSON INC.), 5 February 1998 (05.02.98), figures 1-6, see summary of the invention --	1-26
A	US 5615253 A (STEPHEN M. KOCAN ET AL), 25 March 1997 (25.03.97) -- -----	1-26

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

19 October 1999

Date of mailing of the international search report

21 -10- 1999

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Patrik Rydman/mj

Telephone No. +46 8 782 25 00

# INTERNATIONAL SEARCH REPORT

Information on patent family members

28/09/99

International application No.

PCT/FI 99/00345

Patent document cited in search report			Publication date	Patent family member(s)			Publication date
WO	9805153	A2	05/02/98	AU	3966097 A	20/02/98	
				EP	0916220 A	19/05/99	
				US	5878338 A	02/03/99	
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US	5615253	A	25/03/97	CA	2158188 A,C	29/04/96	
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